



VPS SECURITIES - Required Documents For Account Opening – Foreign Institutional Clients

No.	Supporting Document or ID (email, and hard copy courier the following)	Copies
1	Securities Trading Account Opening Contract <ul style="list-style-type: none"> ➤ Complete three (3) wet-ink signed originals: ➤ We retain two (2) and mail one (1) file to you. 	3
2	Security Trading Code (“STC”) <ul style="list-style-type: none"> ➤ Issued by Vietnam Securities Depository, and as applied by your custodian. ➤ Email the ‘STC’ as PDF file. 	1
3	Business Registration Information of Asset Management Company <ul style="list-style-type: none"> ➤ PDF file and email us the website link to official regulator(s) website. ➤ PDF Letter of Incorporation Certificate (or Letter of Business Registration). 	1
4	Trust Agreement of Fund or Fund Establishment License <ul style="list-style-type: none"> ➤ Email PDF file and email us the website link to official regulator/fund website. 	1
5	On your letterhead: Cover Letter, announcing list of Authorised Traders able to place Orders with VPS Securities approved by Account Signatory <ul style="list-style-type: none"> ➤ Page 1: Cover letter. Confirm the list of Authorised Traders is approved by your signatory. Include: Account signatory full name - wet ink sign. Stamp if using. <u>Date page 1</u> ➤ Page 2: Generate a table displaying the list of your Authorised Traders, include: Trader full name Email Telephone Signature (each Trader wet-ink signs, in the table - adjacent to fields showing their full name and contact information). Include below table: Account signatory full name - wet ink sign. Stamp if using. <u>Date page 2</u> 	1
6	Passport of Account Signatory and Traders, or Approved ID confirmation <ul style="list-style-type: none"> ➤ Passport (ID pages) of account signatory/signatories. ➤ Letter of Appointment for the Legal Representative or Document Showing Job. ➤ Passport (ID pages), or Other Approved ID of Traders. 	1 (or more)
7	Bloomberg UUID <ul style="list-style-type: none"> ➤ If using Bloomberg, email your Bloomberg UUID (Unique User Identifier). 	1 (or more)
8	Omnibus <ul style="list-style-type: none"> ➤ Contact our Desk for on-boarding your Client accounts 	

Dated: 1 October, 2024 – for and on behalf of VPS Securities

(1) Email:

Firstly, send a soft copy of account contract and supporting documents to: institutionalsales@vps.com.vn

(2) Courier:

On confirmation, send three (3), wet-ink signed originals of the VPS Securities account opening contract, and one (1) wet-ink signed (stamped if using), letter confirming the approved list of Authorised Traders, to:

VPS Securities

Att:: Steven Derek Brown, Head of Institutional Sales
 65 Cam Hoi Street, Hai Ba Trung District, Hanoi, Vietnam, 100000

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