

VPS SECURITIES - Required Documents For Account Opening – Foreign Institutional Clients

No.	Supporting Document or ID	Copies
1	Securities Trading Account Opening Contract ➤ Complete three (3) wet-ink signed originals: ➤ We retain two (2) and mail one (1) file to you.	3
2	Security Trading Code (“STC”) ➤ Issued by Vietnam Securities Depository, and as applied by your custodian. ➤ Email the ‘STC’ as PDF file. If opening sub-accounts, email each ‘STC’ as PDF.	1 (one PDF or more as required)
3	Business Registration Information of Asset Management Company ➤ Email PDF file and email us the website link to official regulator(s) website.	1
4	Trust Agreement of Fund or Fund Establishment License ➤ Email PDF file and email us the website link to official regulator/fund website.	1
5	On your letterhead: Cover Letter, announcing list of Authorised Traders able to place Orders with VPS Securities approved by Account Signatory ➤ Page 1: Cover letter. Confirm the list of Authorised Traders is approved by your signatory. Include: Account signatory full name - wet ink sign. Stamp if using. <u>Date page 1</u> ➤ Page 2: Generate a table displaying the list of your Authorised Traders, include: Trader full name Email Telephone Signature (each Trader wet-ink signs, in the table - adjacent to fields showing their full name and contact information). Include below table: Account signatory full name - wet ink sign. Stamp if using. <u>Date page 2</u>	1
6	Passport of Account Signatory and Traders, or Approved ID confirmation ➤ Passport (ID pages) of account signatory/signatories. ➤ Passport (ID pages) of Traders. ➤ Or, provide other approved ID.	1 (or more)
7	Bloomberg UUID ➤ If using Bloomberg, email your Bloomberg UUID (Unique User Identifier) details.	1 (or more)
8	Omnibus ➤ Contact our Desk for on-boarding your Client accounts	

Dated: 1 January, 2024 – for and on behalf of VPS Securities

(1) Email:

Firstly, send a soft copy of account contract and supporting documents to: institutionalsales@vps.com.vn

(2) Courier:

On confirmation, send three (3), wet-ink signed originals of the VPS Securities account opening contract, and one (1) wet-ink signed (stamped if using), letter confirming the approved list of Authorised Traders, to:

VPS Securities

Att.: Steven Derek Brown, Head of Institutional Sales
65 Cam Hoi Street, Hai Ba Trung District, Hanoi, Vietnam, 100000